

Global X Lithium & Battery Tech UCITS ETF (LITU)

FUND OBJECTIVE

The Global X Lithium & Battery Tech UCITS ETF (LITU) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Lithium v2 Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.*

As of 18 Oct 2023

Index Provider: SOLACTIVE AG



KEY FEATURES



High Growth Potential



Sustainable Theme



Unconstrained Approach

SOLACTIVE GLOBAL LITHIUM V2 INDEX

SELECTION

- The index is designed to track the performance of companies that are active in lithium mining, exploration, or a closely related activity, and/or production of lithium batteries.
- Companies must have significant revenues generated or expected to be generated from:
 - Business operations in lithium mining ("Mining Company") or
 - Production of lithium batteries ("Battery Company")
- Companies in the Selection Pool are ranked according to their Free Float Market Capitalization and chosen in descending order.
- The minimum number of constituents is 20 and the maximum number of constituents is 40.
- The number of constituents is capped to 30% for listings on Shanghai Stock Connect (SSE) and Shenzhen Stock Connect (SZSE).

SELECTION POOL

- Eligible companies must have:
 - Free Float Market Capitalization of \$50 million if they are not current constituents and \$25 million if they are current constituents.
 - Average Daily Traded Value of at least \$200,000 over the last three months if they are not current index constituents and \$100,000 if they are current constituents.
 - Primary listing in developed or emerging markets, excluding India. China A-shares are eligible provided they are available through the Stock Connect program.

WEIGHTING SCHEME

- Index components are weighted according to their Free Float Market Capitalization:
 - Individual weights of Mining Companies are capped at 15%.
 - Individual weights of Battery Companies are capped at 4%.
 - The collective Percentage Weight of all Index Components with a Percentage Weight exceeding 4% is capped at 44.5%.
 - The excess weight is allocated proportionally to all Index Components whose Percentage Weight is not capped.



REBALANCES/REVIEWS

- The index follows an annual reconstitution schedule. Reconstitution is made on the close of the last business day of October.
- The index follows an annual review schedule. Review is made on the close of the last business day of April.
- The index conducts a quarterly diversification review on the last trading day of January, April, July and October.

For more information on the Index, please visit [Solactive's website](#).

Disclosures

The Global X UCITS ETFs are regulated by the Central Bank of Ireland. This is a marketing communication.

Please refer to the relevant prospectus, supplement, and the Key Information Document ("KID") of the relevant UCITS ETFs before making any final investment decisions.

Investors should also refer to the section entitled "Risk Factors" in the relevant prospectus of the UCITS ETFs in advance of any investment decision for information on the risks associated with an investment in the UCITS ETFs, and for details on portfolio transparency. The relevant prospectus and KID for the UCITS ETFs are available in English at www.globalxetfs.eu/funds. Investment in the UCITS ETFs concern the purchase of shares in the UCITS ETFs and not in a given underlying asset such as a building or shares of a company, as these are only the underlying assets that may be owned by the UCITS ETFs.

A UCITS ETF's shares purchased on the secondary market cannot usually be sold directly back to a UCITS ETF. Investors must buy and sell shares on a secondary market with the assistance of an intermediary (e.g. a stockbroker) and may incur fees for doing so. In addition, investors may pay more than the current net asset value when buying shares and may receive less than the current net asset value when selling them. Changes in exchange rates may have an adverse effect on the value price or income of the UCITS ETF.

Past performance of a UCITS ETF does not predict future returns. Future performance is subject to taxation which depends on the personal situation of each investor, and which may change in the future. Neither past experience nor the current situation are necessarily accurate guides to the future growth in value or rate of return of a UCITS ETF.

Investment may be subject to sudden and large falls in value, and, if it is the case, the investor could lose the total value of the initial investment. Income may fluctuate in accordance with market conditions and taxation arrangements. The difference at any one time between the sale and repurchase price of a share in the UCITS ETF means that the investment should be viewed as medium term to long term.

Any investment in a UCITS ETF may lead to a financial loss. The value of an investment can reduce as well as increase and, therefore, the return on the investment will be variable.

Global X ETFs ICAV is an open-ended Irish collective asset management vehicle issuing under the terms of its prospectus and relevant supplements as approved by the Central Bank of Ireland and is the issuer of certain of the ETFs where stated. Global X ETFs ICAV II is an open-ended Irish collective asset management vehicle issuing under the terms of its prospectus and relevant supplements as approved by the Central Bank of Ireland and is the issuer of certain of the ETFs where stated.

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Information for Investors in Switzerland

This is an advertising document. The state of the origin of the fund is Ireland. In Switzerland, the representative is 1741 Fund Solutions AG, Burggraben 16, CH-9000 St.Gallen. The paying agent is Tellco AG, Bahnhofstrasse 4, 6430 Schwyz. The prospectus, the key information documents, the articles of association as well as the annual and semi-annual reports may be obtained free of charge from the representative. Past performance is no indication of current or future performance. The performance data do not take account of the commissions and costs incurred on the issue and redemption of units.