

# Global X FinTech UCITS ETF (FINX)

## FUND OBJECTIVE

The Global X FinTech UCITS ETF (FINX) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Global FinTech Thematic v2 Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.\*

As of 13 Mar 2023

Index Provider: INDXX



## KEY FEATURES



High Growth Potential



Global Tailwinds



Changing Consumer Preferences

## INDXX GLOBAL FINTECH THEMATIC V2 INDEX

### SELECTION

- The index is designed to track the performance of companies listed in developed markets that are offering technology-driven financial services which are disrupting existing business models in the financial services and banking sectors, including by providing and developing:
  - P2P and Marketplace Lending: online platforms for peer-to-peer and marketplace lending that is disrupting the traditional lending sector;
  - Mobile Payments: platforms and services that focus on peer-to-peer mobile payments and POS (Point-of-sale) services;
  - Crowd-Funding: online platforms for sourcing funds from individual members;
  - Blockchain and Alternative Currencies: blockchain technology, utilization of blockchain technology to provide financial services, or other alternative currencies;
  - Personal Finance Software, Automated Wealth Management and Trading: personal finance software, robo-advisor solutions that leverage automation/algorithms, and/or are technology-enabled investment solution providers (excluding traditional fund administrators that provide technology services); and
  - Enterprise Solutions: scalable technology solutions focused on disrupting traditional areas of financial services such as lending, credit scoring and banking.
- Only those companies that derive a significant portion of their revenues from the above industries/segments or have stated their primary business to be in products and services focused on the above industries/segments are considered as 'pure-play' fintech companies.
- Companies that have demonstrated these industries/segments to be a growth focus area through internal R&D investments, joint ventures, partnerships, and/or acquisitions are also eligible for inclusion.

### SELECTION POOL

- Eligible companies must have:
  - Minimum market capitalization of \$300M;
  - Listing in a Developed Market;
  - Average daily turnover greater than or equal to \$2 million over the last 6 months (or since the IPO launch date, in the case of significant IPOs);
  - In case a security does not have a trading history of 6 months, to be considered for inclusion, significant IPOs must have been listed at least 10 calendar days prior to the 'Selection Date', and 3 months for other IPOs;
  - Free float percentage of total shares outstanding of at least 10%.
- Existing constituents are retained in the index if they retain a minimum market capitalization of \$240M and a minimum average daily turnover of \$1.4M (and continue to meet the other requirements).
- An IPO is considered to be a "Significant IPO" if its company level total market capitalization is greater than the company level total market capitalization of at least 50% of the on-going index constituents as of previous 'Reconstitution Selection Day'.

### WEIGHTING SCHEME

- Index components are weighted according to security-level market capitalization with the following constraints applied at each rebalance:
  - Each component is subject to maximum weight of 6%.
  - The aggregate weight of companies with weight above 5% cannot exceed 40% of the index weight. The remaining companies are capped at 4.5%.



## REBALANCING

- The index follows an annual reconstitution and rebalance schedule. Adjustments are made on the second Friday of March each year.
- The index is also reviewed semi-annually for new IPOs and for corporate actions that may impact a constituent's business operations. Adjustments are made on the second Friday of September of each year.

For more information on the Index, please visit [Indxx's website](#).

\*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

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A Prospectus and Key Investor Information Document (KIID) for this scheme is available in English at [globalxetfs.eu/uk/funds/finx](#).

The value of an investment in ETFs may go down as well as up and past performance is not a reliable indicator of future performance.

Trading in ETFs may not be suitable for all types of investors as they carry a high degree of risk. You may lose all of your initial investment. Only speculate with money you can afford to lose. Changes in exchange rates may also cause your investment to go up or down in value. Tax treatment depends on the individual circumstances of each client and may be subject to change in the future. Please ensure that you fully understand the risks involved. If in any doubt, please seek independent financial advice. Investors should refer to the section entitled "Risk Factors" in the relevant prospectus for further details of these and other risks associated with an investment in the securities offered by the Issuer.

**United Kingdom** This material has been approved as a financial promotion, for the purposes of section 21 of the Financial Services Market Act 2000 (FSMA), by Resolution Compliance Limited which is authorised and regulated by the Financial Conduct Authority (FRN:574048).

**Switzerland** The representative in Switzerland is 1741 Fund Solutions Ltd, Burggraben 16, CH-9000 St. Gallen. The paying agent in Switzerland is Telco Ltd, Bahnhofstrasse 4, CH-6430 Schwyz.

The prospectus, the Key Investor Information Document (KIID), the ICAV's instrument of incorporation and as well as the annual and semi-annual reports may be obtained free of charge from the representative. In respect of the units offered in Switzerland, the place of performance is the registered office of the representative.

The place of jurisdiction is at the registered office of the representative or at the registered office or place of residence of the investor.