

Global X Autonomous & Electric Vehicles UCITS ETF (DRVE)

FUND OBJECTIVE

The Global X Autonomous & Electric Vehicles UCITS ETF (DRVE) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Autonomous & Electric Vehicles V2 Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.*

As of 16 Nov 2021

Index Provider: SOLACTIVE AG



KEY FEATURES



High Growth Potential



Advancing Clean Technologies



Unconstrained Approach

SOLACTIVE AUTONOMOUS & ELECTRIC VEHICLES V2 INDEX

SELECTION

- The index tracks the performance of companies that are (or are expected to be in the near future) active in the autonomous vehicles or electric vehicles segments.
- Solactive has identified the following three categories as essential to the underlying theme:
 - **Electric Vehicles (“EV”)** – companies that produce electric/hybrid vehicles, including cars, trucks, motorcycles/scooters, buses, and electric rail.
 - **Electric Vehicle Components (“EVC”)** – This category is divided into two parts:
 - “Components” - Companies that produce electric/hybrid vehicle components, including electric drivetrains, lithium-ion and other types of electric batteries, and fuel cells.
 - “Materials” - Companies that produce the chemicals and raw materials (including but not limited to lithium and cobalt) that comprise these electric/hybrid vehicle components.
 - **Autonomous Vehicle Technology (“AVT”)** – companies that build autonomous vehicles and/or develop hardware and software that facilitates the development of autonomous vehicles, including sensors, mapping technology, artificial intelligence, Advanced Driver Assistance Systems, ride-share platforms, and network-connected services for transportation.
- Company selection is conducted based on screening of publicly available information such as financial websites, search engines, or company publications using a natural language processing algorithm. Using keywords that describe the index theme, the algorithm identifies companies and groups them into the EV, EVC, or AVT segments described above.
- The algorithm then ranks the companies in each segment according to the frequency with which the company is referenced in relation to each segment's specific keywords (“Segment Score”). The companies are then reviewed to ensure relevance to the segment based on business operations. The remaining companies are ranked by their Segment Score, and the final index constituents are determined based on their respective Segment Score as follows:
 - **EV** – The top 15 companies according to their Segment Score are selected as index constituents.
 - **EVC** – The top 30 companies according to their Segment Score are selected as index constituents (Top 15 “Components” + Top 15 “Materials”).
 - **AVT** – The top 30 companies according to their Segment Score are selected as index constituents.

SELECTION POOL

- Primary listing in one of the countries that are part of the Developed Markets, South Korea or Taiwan.
- Minimum market cap of \$500 million and at least 400 million for companies that are existing Index Components.
- Average Daily Trading Volume of above \$2 million over the last 6 months for companies that are not Index Components on the respective Selection Day and at least \$1.4 million for companies that are existing Index Components.



WEIGHTING AT SELECTION/REBALANCING

- The index is modified market cap weighted.
 - A single security limit of 3%.
 - Securities that rank in the bottom quintile of their respective segments are capped at a 2% weight.
 - If there is a company that is not in the bottom quintile but has the same Segment Score as a company that is in the bottom quintile, that company would also be capped at 2%.

REBALANCES/REVIEWS

- The index reconstitution process described above is conducted semi-annually on the last business day of January and the last business day of July.

For more information on the Index, please visit [Solactive's website](#).

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

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United Kingdom

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Switzerland

The representative in Switzerland is 1741 Fund Solutions Ltd, Burggraben 16, CH-9000 St. Gallen. The paying agent in Switzerland is Tellico Ltd, Bahnhofstrasse 4, CH-6430 Schwyz. The prospectus, the Key Investor Information Document (KIID), the ICAV's instrument of incorporation and as well as the annual and semi-annual reports may be obtained free of charge from the representative. In respect of the units offered in Switzerland, the place of performance is the registered office of the representative.

The place of jurisdiction is at the registered office of the representative or at the registered office or place of residence of the investor.