

Global X CleanTech UCITS ETF (CTEK)

FUND OBJECTIVE

The Global X CleanTech UCITS ETF (CTEK) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Global CleanTech v2 Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.*

As of 16 Nov 2021

Index Provider: INDXX



KEY FEATURES



High Growth Potential



Unconstrained Approach



Conscious Approach

INDXX GLOBAL CLEANTECH V2 INDEX

SELECTION POOL

- The index is designed to track the performance of companies that develop technology or equipment that enables the production of energy from renewable sources, efficient utilization of energy and reduction of negative environmental influences.
- The top 40 companies ranked by market capitalization are included in the index.
- Listed either in a Developed or in an Emerging Market, excluding India.
- Total market capitalization of at least \$500 million for new additions, and \$400 million for existing constituents.
- Average daily turnover greater than or equal to \$2 million over the last 6 months (or since the IPO launch date, in the case of significant IPOs).
- In case a security does not have a trading history of 6 months, to be considered for inclusion, significant IPOs must have been listed at least 10 calendar days prior to the 'Selection Date', and 3 months for other IPOs.
- An IPO is considered to be a "Significant IPO" if its company level total market capitalization is greater than the company level total market capitalization of at least 50% of the on-going index constituents as of previous 'Reconstitution Selection Day'.
- Free float percentage of total shares outstanding of at least 10% or a minimum free float market capitalization of \$1 billion.
- The index may include companies involved in the development of technology/equipment relating to:
 - i. renewable energy production,
 - ii. residential and commercial energy efficiency,
 - iii. smart grid implementation,
 - iv. lithium-ion batteries and/or fuel cells, and/or
 - v. preventing or ameliorating the negative environmental effects of pollution
- Generate at least 50% of their revenues from activities mentioned above.
- All constituents must comply with UN Global Compact principles and a controversial products based ESG-based criteria screen. This includes, but is not limited to, Controversial Weapon involvement, Thermal Coal, Adult Entertainment, Recreational Cannabis and Tobacco, Alcohol and Gambling.

WEIGHTING SCHEME

- The index is market cap-weighted with a single security cap of 6%, and a single security floor of 0.3%.
- The aggregate weight of all the constituents with a weight greater than 5% is capped at 40%. All remaining securities are capped at 4.5%.

REBALANCING

- The index follows a semi-annual rebalance schedule. Adjustments are made on the third Friday of March and September.



For more information on the Index, please visit [Indxx's website](#).

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

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United Kingdom

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Capital at risk: The value of an investment in ETFs may go down as well as up and past performance is not a reliable indicator of future performance.

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Switzerland

The representative in Switzerland is 1741 Fund Solutions Ltd, Burggraben 16, CH-9000 St. Gallen. The paying agent in Switzerland is Tellco Ltd, Bahnhofstrasse 4, CH-6430 Schwyz. The prospectus, the Key Investor Information Document (KIID), the ICAV's instrument of incorporation and as well as the annual and semi-annual reports may be obtained free of charge from the representative. In respect of the units offered in Switzerland, the place of performance is the registered office of the representative.

The place of jurisdiction is at the registered office of the representative or at the registered office or place of residence of the investor.