

China Electric Vehicle and Battery UCITS ETF (CAUT)

FUND OBJECTIVE

The Global X China Electric Vehicle and Battery UCITS ETF (CAUT) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive China Electric Vehicle and Battery v2 Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.*

As of 9 February 2023

Index Provider: SOLACTIVE AG



KEY FEATURES



High Growth Potential



Unconstrained Approach



ETF Efficiency

SOLACTIVE CHINA ELECTRIC VEHICLE AND BATTERY V2 USD INDEX NTR

SELECTION

- The Index is designed to provide the exposure of Chinese companies that are active in the field of Electric Vehicles and Batteries related to Electric Vehicles.

SELECTION POOL

- The index universe of the Index includes listed shares of companies headquartered in mainland China or Hong Kong from the following sub-industries according to the FactSet Revere Business Industry Classification System:
Alternative energy car manufacturers, multi-type car manufacturers, electric vehicle charging stations, battery charging equipment manufacturing, electric vehicle battery manufacturing, mixed heavy-duty and high-end battery makers, heavy-duty industrial battery manufacturing, traditional vehicle battery manufacturing, electric motor manufacturing, lithium compounds manufacturing, lithium ore mining, consumer battery manufacturing, other industrial electrical product manufacturing, multi-industry-specific factory machinery makers, electronic materials manufacturing, consumer electronics accessories manufacturing, diversified specialty/performance chemicals makers, general factory automation makers, powertrain manufacturing, motorcycle manufacturing, power transmission and distribution products, test, measurement and metrology equipment makers, other interconnect components, diversified industrial manufacturing, automotive and marine electronics manufacturing, auto interior comfort/safety/electronics products, general and mixed-type software, heating, ventilation and air conditioning products, chassis and body manufacturing, pan-powertrain and chassis manufacturing, film passive capacitor electronic components, other specialty and performance chemicals makers, other organic chemical manufacturers, other discrete semiconductors and rail equipment manufacturers.
- The securities are selected for inclusion in the Index based on the following rules:
 - All eligible securities are ranked on their free float market capitalization in a descending order.
 - Top 25 securities by free float market capitalization rank are selected for inclusion.
 - Existing constituents ranked from 26 to 40 based on free float market capitalization are selected for inclusion in the Index until the target constituent count of 35 is reached.

WEIGHTING SCHEME

- The Index is a free float market capitalization weighted index which is designed to represent companies domiciled in the People's Republic of China that are active in the field of electric vehicles and batteries related to electric vehicles:
 - The constituents of the Index are weighted according to their free float market capitalization such that the weight of each constituent in the Index does not exceed 10%.
 - The excess weight will be distributed to the other constituents of the Index pro-rata in an iterative process meaning the use of a mathematical procedure based on an initial value to distribute the excess weight.

REBALANCES/REVIEWS

- The Index is rebalanced semi-annually effective as of second Friday in January and July (and if that day is not a trading day, the immediately following trading day). The Index constituents will be determined on the relevant "Selection Day" which is 10 weekdays.
- Under certain circumstances, an adjustment of the Index may be necessary between two regular Rebalance Days. Such adjustment has to be made if a corporate action in relation of an Index constituent occurs. Such adjustment may have to be done in relation to an Index constituent and/or may also affect the number of Index constituent and/or the weighting of certain Index constituents and will be made in compliance with the Solactive Equity Index Methodology.



For more information on the Index, please visit [Solactive's website](#).

* For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

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United Kingdom

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Capital at risk: The value of an investment in ETFs may go down as well as up and past performance is not a reliable indicator of future performance.

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Switzerland

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