

Global X Robotics & Artificial Intelligence UCITS ETF (BOTZ)

FUND OBJECTIVE

The Global X Robotics & Artificial Intelligence UCITS ETF (BOTZ) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Global Robotics & Artificial Intelligence Thematic v2 Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.*

As of 14 Mar 2023

Index Provider: INDXX



KEY FEATURES



High Growth Potential



Compelling Economics



Unconstrained Approach

INDXX GLOBAL ROBOTICS & ARTIFICIAL INTELLIGENCE THEMATIC V2 INDEX

SELECTION

- The index is designed to track the performance of companies that are expected to benefit from the increased adoption and utilization of robotics and Artificial Intelligence ("AI"), including companies involved in the development and production of:
 - Industrial Robots and Automation: robots and robotic automation products and services, with a focus on industrial applications.
 - Unmanned Vehicles and Drones: unmanned vehicles (including hardware and software for autonomous cars), drones and robots for both military and consumer markets.
 - Non-industrial Robotics: robots and AI that are used for non-industrial applications, including but not limited to agriculture, health care, consumer applications and entertainment.
 - Artificial Intelligence: applications, technologies and products that utilize Artificial Intelligence for data analysis, predictive analytics, task automation and other applications.
- Companies that derive a significant portion (greater than 50%) of their revenues from the above industries/segments or have stated their primary business to be in products and services focused on the above industries/segments are considered as 'pure play' robotics and AI companies and are eligible for inclusion in the index.

SELECTION POOL

- Eligible companies must have:
 - Minimum market capitalization of \$300M;
 - Listing in a Developed Market;
 - Average daily turnover greater than or equal to \$2M over the last 6 months (or since the IPO launch date, in the case of significant IPOs);
 - In case a security does not have a trading history of 6 months, to be considered for inclusion, significant IPOs must have been listed at least 10 calendar days prior to the 'Selection Date', and 3 months for other IPOs;
 - Free float percentage of total shares outstanding of at least 10%.
- Existing constituents are retained in the index if they retain a minimum market capitalization of \$240M and a minimum average daily turnover of \$1.4M (and continue to meet the other requirements).
- An IPO is considered to be a "Significant IPO" if its company level total market capitalization is greater than the company level total market capitalization of at least 50% of the on-going index constituents as of previous 'Reconstitution Selection Day'.

WEIGHTING SCHEME

- Index components are weighted according to security-level market capitalization with the following constraints applied at each rebalance:
 - Each component is subject to maximum weight of 8%.
 - The aggregate weight of companies with weight above 5% cannot exceed 40% of the index weight. The remaining companies are capped at 4.5%.

REBALANCING

- The index follows an annual reconstitution and rebalance schedule. Adjustments are made on the second Friday of March each year.
- The index is also reviewed semi-annually for new IPOs and for corporate actions that may impact a constituent's business operations. Adjustments are made on the second Friday of September of each year.



For more information on the Index, please visit [Indxx's website](#).

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

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United Kingdom

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Switzerland

The representative in Switzerland is 1741 Fund Solutions Ltd, Burggraben 16, CH-9000 St. Gallen. The paying agent in Switzerland is Tellco Ltd, Bahnhofstrasse 4, CH-6430 Schwyz. The prospectus, the Key Investor Information Document (KIID), the ICAV's instrument of incorporation and as well as the annual and semi-annual reports may be obtained free of charge from the representative. In respect of the units offered in Switzerland, the place of performance is the registered office of the representative.

The place of jurisdiction is at the registered office of the representative or at the registered office or place of residence of the investor.